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JAN 17 2002

PATENT &amp; TRADEMARK OFFICE

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H H STEVENS/8 #4

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

		Application Number	09/982,125
		Filing Date	October 17, 2001
		First Named Inventor	WEINSTEIN, JAMES N.
		Group Art Unit	3724
		Examiner Name	
Total Number of Pages in This Submission	7	Attorney Docket Number	5506

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	GENERAL MILLS
Signature	<i>John G. Popp</i>
Date	11/30/2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 30, 2001.

Typed or printed name	Brenda K. Popp
Signature	<i>Brenda K. Popp</i>
Date	Nov. 30, 2001

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JAN 17 2002

PTO/SB/17 (11-00)

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,188.00)

## Complete if Known

Application Number	09/982,125
Filing Date	October 17, 2001
First Named Inventor	WEINSTEIN, JAMES N.
Examiner Name	
Group Art Unit	3724
Attorney Docket No.	5506

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number 07-0900  
Deposit Account Name  
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant Claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money  Other Order

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Description	Fee Paid
Surcharge – late filing fee or oath	130
Surcharge – late provisional filing fee or cover sheet	
Non-English specification	
For filing a request for ex parte reexamination	
Requesting publication of SIR prior to Examiner action	
Requesting publication of SIR after Examiner action	
Extension for reply within first month	
Extension for reply within second month	
Extension for reply within third month	
Extension for reply within fourth month	
Extension for reply within fifth month	
Notice of Appeal	
Filing a brief in support of an appeal	
Request for oral hearing	
Petition to institute a public use proceeding	
Petition to revive – unavoidable	
Petition to revive – unintentional	
Utility issue fee (or reissue)	
Design issue fee	
Plant issue fee	
Filing a submission after final rejection (37 CFR § 1.129 (a))	
For each additional invention to be examined (37 CFR § 1.129(b))	
Request for Continued Examination (RCE)	
Request for expedited examination of a design application	
Advance Copies	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 130)

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1)		(\$ 740)	

## 2. EXTRA CLAIM FEES

Extra Claims		Fee from below	Fee Paid
Total Claims	33 -20**	= 13	x 18 = 234
Indep. Claims	4 -3**	= 1	x 84 = 84
Multiple Dependent			=
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$ 318)	

\*\*or number previously paid, if greater; For Reissues, see above.

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	John A. O'Toole	Registration No. (Attorney/Agent)	28,336	Telephone	763-764-2422
Signature	J. A. O'Toole			Date	11/30/2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 17 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,125	10/17/2001	James Weinstein	GM Docket 5506

CONFIRMATION NO. 9344

FORMALITIES LETTER



John O'Toole, Esq.  
General Mills, Inc.  
P.O. Box 1113  
Minneapolis, MN 55440

Date Mailed: 11/21/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$318.
  - \$234 for 13 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1188.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 17 2002  
U.S. PATENT & TRADEMARK OFFICE

#4

In Re.: Patent Application of  
WEINSTEIN, JAMES N.  
CREMERS, THOMAS G.  
SMITH, THOMAS L.

Serial No.: 09/982,125

Filed: October 17, 2001

For: ROTARY CUTTER ASSEMBLY

Docket No.: 5506

FILING OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Applicant has received a Notice To File Missing Parts Of Application--Filing Date Granted. The notice indicated that the following items were missing:

1. The basic filing fee,
2. Additional claim fees,
3. The oath or declaration.

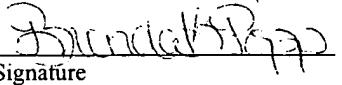
Please find enclosed a Declaration executed by the inventors.

Also enclosed is a copy of the Notice. The fee is herewith made from Deposit Account No. 07-0900.

Respectfully submitted,

WEINSTEIN, JAMES N.  
CREMERS, THOMAS G.  
SMITH, THOMAS L.

I hereby certify that this document is  
being deposited with the United States  
Postal service as first class mail in an  
envelope addressed to:

Assistant Commissioner for  
Patents  
Washington, DC 20231  
on: January 30, 2001  
  
Signature

By John A. O'Toole, Esq.

John A. O'Toole  
Attorney for Applicants  
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